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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/873,319

06/05/2001

William E. Munger

044921-5029-US

09629 MORGAN, LEWIS & BOCKIUS 1800 M STREET NW **WASHINGTON, DC 20036-5869**

CONFIRMATION NO. 4129 FORMALITIES LETTER

OC000000006324653

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 12/26/2001 TRESHAH1 00000037 500310 09873319

740.00 CH 01 FC:101 1080.00 CH 02 FC:103 588.00 CH 03 FE:102 130.00 CH 280.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1910.
 - \$1080 for 60 total claims over 20.
 - \$560 for 7 independent claims over 3
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2750.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of

05 EC: 104

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the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DEC 2 0 2001 ATTORNEY DOCKET NO.: 044921-5029-US

TATES PATENT AND TRADEMARK OFFICE

PATENT

In re A	Applicati	ion of:	William MUNGER et al.)				
Application No.: 09/873,319					Group Art Unit: 1645			
Filed: June 5, 2001					Examiner:			
For:	OF BE	TIFYING DRUGS FOR AND DIAGNOSIS) ENIGN PROSTATIC HYPERPLASIA) G GENE EXPRESSION PROFILES)						
BOX	nissione MISSIN ngton, I	NG PA D.C. 20	RTS	MICCI	NC DADTS			
1.	July 20	eplies to 0, 2001.	the Notice to File Missing parts of A A copy of the Notice To File Missing enclosed.	pplicat	ion (PTO-1533) mailed			
2.	Declaration Or Oath							
	[XX]	No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney						
		[] The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.						
	[]	The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.						
3.	English Translation of Non-English Language Papers							
	.[]	Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this						

translation be used as the copy for examination purposes in the PTO.

ATTORNEY DOCKET NO.: 044921-5029- US

Application No.: 09/873,319

Page 2

Also enclosed is the Verification of Translation Document

4. Small Entity Statement(s)

[] Verified Statement(s) that this is a filing by a small entity

Applicants qualify as a small business concern as defined in 37 CFR 1.27

(a)(1) through (a)(3), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code.

5. Fee Calculation

	\$740.00			
	Number Filed	Number Extra	at a Rate of	
Total Claims	80 - 20 =	60	\$18.00 each=	+1,080.00
Independent Claims	10 - 3 =	7	\$84.00 each=	+588.00
Multiple dependent claim(+280.00			
Missing Parts Surcharge F	+130.00			
SUB-TOTAL =	2,818.00			
Reduction by 1/2 for filing	00			
SUB-TOTAL =				
TOTAL FILING FEE =	\$2,818.00			